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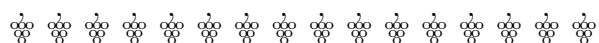
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# ADDING $\pm 1$ TO THE ARGUMENT OF A HALL–LITTLEWOOD POLYNOMIAL

ALAIN LASCoux

*Dédié à Xavier Viennot*



ABSTRACT. Shifting by  $\pm 1$  power sums:  $p_i \rightarrow p_i \pm 1$  induces a transformation on symmetric functions that we detail in the case of Hall–Littlewood polynomials. By iteration, this gives a description of these polynomials in terms of plane partitions, as well as some generating functions. We recover in particular an identity of Warnaar related to Rogers–Ramanujan identities.



## 1. INTRODUCTION

To free analysis from infinitesimal quantities, and to quieten down the metaphysical anguish of Bishop Berkeley<sup>1</sup>, Lagrange [3] proposed to replace differential calculus by the study of the behaviour of a function<sup>2</sup>  $fx$  under the addition of an “increment”  $y$  to  $x$ :

$$fx \rightarrow f(x + y) .$$

This works perfectly well, at least under the mild proviso of analyticity in the neighbourhood of  $x$ .

One can adopt the same strategy in the realm of symmetric polynomials, adding a letter  $x$  to a set of indeterminates (we say *alphabet*  $A$ ). However, there exists a canonical involution on symmetric functions, and

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<sup>1</sup>Il s’est élevé un Docteur ennemi de la Science qui a déclaré la guerre aux Mathématiciens; ce Docteur monte en Chaire pour apprendre aux fidèles que la Géométrie est contraire à la religion; il leur dit d’être en garde contre les Géomètres, ce sont, selon lui, des gens aveugles et indociles qui ne savent ni raisonner, ni croire; des visionnaires qui se refusent aux choses simples et qui donnent tête baissée dans les merveilles.... , préface de Buffon [9]. Other (anonymous) defenses of infinitesimals have been published in England. See, for example, *A defence of free-thinking in Mathematics*, London (1735), and *Geometry no friend to infidelity*, London (1734).

<sup>2</sup>Lagrange, in harmony with D. Knuth, writes  $fx$  and  $f(x+y)$ , forgetting parentheses when the argument is a single letter. We shall follow Lagrange, when no ambiguity is to be feared.

this entails that there exists in fact two versions of the “addition” of  $x$ . In terms of  $\lambda$ -rings, one has to study both transformations

$$fA \rightarrow f(A+x), \quad fA \rightarrow f(A-x),$$

which may look rather different when considering explicit polynomials.

Of course, when restricting to homogeneous polynomials, one does not lose any information by specializing  $x$  to 1, i.e., by studying instead

$$fA \rightarrow f(A+1), \quad fA \rightarrow f(A-1).$$

We consider these two operations in the case of Hall–Littlewood polynomials in Theorem 3.1 and Theorem 4.2.

An interesting outcome is a short proof of an identity of Warnaar (5.2) concerning a generating function of Hall–Littlewood polynomials related to the Rogers–Ramanujan identities.

Recall that the ring of symmetric polynomials  $\mathfrak{Sym}(X)$  in an infinite set of indeterminates  $X = \{x_1, x_2, \dots\}$ , with coefficients in  $\mathbb{Q}[[t]]$ ,  $t$  another indeterminate, admits a linear basis, the *Schur functions*  $S_\lambda X$ , indexed by all partitions<sup>3</sup>  $\lambda$ .

One can take, for a symmetric function, more general arguments than a set of indeterminates, and we shall need to use, given two sets of indeterminates  $X, Y$ , and a symmetric function  $f$ , the functions  $f(X \pm Y)$ ,  $f(XY)$ ,  $f(X(1-t))$ ,  $f(X \pm 1)$ . Since  $\mathfrak{Sym}(X)$  is a ring of polynomials in the power sums  $p_i$ , the above symmetric functions are induced from the case where  $f$  is a power sum, setting

$$\begin{aligned} p_i(X \pm Y) &= p_i X \pm p_i Y, \quad p_i(XY) = p_i X p_i Y, \\ p_i(X(1-t)) &= (1-t^i) p_i X, \quad p_i(X \pm 1) = p_i X \pm 1. \end{aligned}$$

For more informations about the flexibility of arguments of symmetric functions, and the use of  $\lambda$ -rings, see [5].

We shall also need the generating function of complete functions:

$$\sigma_1 X := \prod_{x \in X} (1-x)^{-1} = \sum_i S_i X.$$

Schur functions occur naturally when decomposing the Cauchy kernel  $\sigma_1(XY)$ . The Hall–Littlewood polynomials, our present concern, are associated to the kernel  $\sigma_1(XY(1-t)) := \prod_{x \in X, y \in Y} (1-txy)(1-xy)^{-1}$ .

## 2. HALL–LITTLEWOOD POLYNOMIALS

From now on, we fix a positive integer  $n$ .  $\mathfrak{Part}$  will be the set of partitions of length not more than  $n$ , considered as elements of  $\mathbb{N}^n$ . Schur functions may be defined as determinants of complete functions, and

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<sup>3</sup>We follow Macdonald’s conventions [8]. Partitions are weakly decreasing sequences of non-negative integers. One identifies two such sequences if they only differ by trailing 0’s on the right.

this allows to extend their indexation to any  $v \in \mathbb{Z}^n$ ,  $n$  arbitrary. This amounts to introducing the relations<sup>4</sup>

$$S_v = -S_{\dots, v_{i+1}-1, v_i+1, \dots}, \quad S_{v_1, \dots, v_n} = 0 \text{ if } v_n < 0. \quad (1)$$

A more powerful point of view than using straightening relations is to introduce *symmetrizing operators*, which can be defined as products of isobaric divided differences  $\pi_i$ ,  $i = 1, 2, \dots$  (operators act on their left):

$$f \rightarrow f \pi_i := \frac{x_i f - x_{i+1} f^{s_i}}{x_i - x_{i+1}}$$

where  $s_i$  acts on functions of  $X$  by transposition of  $x_i, x_{i+1}$ .

The operator  $x^v \rightarrow S_v(x_1, \dots, x_n)$ ,  $v \in \mathbb{N}^n$ , can be expressed as a product, denoted  $\pi_\omega$ , of  $\pi_i$ . It can also be written as a summation over the symmetric group  $\mathfrak{S}_n$ :

$$f \pi_\omega = \sum_{\sigma \in \mathfrak{S}_n} \left( f \prod_{1 \leq i < j \leq n} (1 - x_j/x_i)^{-1} \right)^\sigma.$$

We refer to Chapter 7 of [5] for some of its properties.

In particular, for any  $i$ , one has  $\pi_i \pi_\omega = \pi_\omega$ , and the reordering (1) of the indexation of Schur functions comes from the relation  $x_{i+1} \pi_i = 0$ . Indeed, if  $v \in \mathbb{Z}^n$  is such that  $v_i = v_{i+1}$ , and  $\alpha, \beta \in \mathbb{Z}$ , then

$$x^v \left( x_i^\alpha x_{i+1}^\beta + x_i^\beta x_{i+1}^\alpha \right) x_{i+1} \pi_i = x_{i+1} \pi_i x^v \left( x_i^\alpha x_{i+1}^\beta + x_i^\beta x_{i+1}^\alpha \right) = 0,$$

because symmetric functions in  $x_i, x_{i+1}$  commute with  $\pi_i$ .

Some care is needed when taking exponents or indices in  $\mathbb{Z}^n$ , instead of  $\mathbb{N}^n$  (this corresponds to the difference between using characters of the symmetric group, or of the linear group).

We first extend the natural order on partitions to elements of  $\mathbb{Z}^n$  by

$$v \geq u \quad \text{if and only if for all } k > 0, \quad \sum_{i=k}^n (v_i - u_i) \geq 0.$$

The operator  $\pi_\omega$  commutes with multiplication by any power of  $x_1 \cdots x_n$ . In the case of a positive power, one has  $(x_1 \cdots x_n)^k S_\lambda = S_{\lambda+[k, \dots, k]}$ . But in the case of a negative power, we also have to obey the rule that  $S_v = 0$  when  $v_n = 0$ . The solution is to combine the symmetrization with a truncation operator:

$$x^v \rightarrow x^v \quad \text{if } v \geq 0, \quad x^v \rightarrow 0 \quad \text{otherwise.}$$

Denote by  $\mathfrak{U}$  the operator “truncation followed by  $\pi_\omega$ .” Now, one has  $x^v \mathfrak{U} = S_v$ , for all  $v \in \mathbb{Z}^n$ , and moreover, one can compute the image of a Laurent series with a finite number of terms of exponents  $\geq 0$ .

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<sup>4</sup>For example,  $S_{-2,2,0,0} = -S_{1,-1,0,0} = S_{1,-1,0,0,0} = 0$  and  $S_{-3,2,1,1} = -S_{1,-2,1,1} = S_{1,0,-1,1} = -S_{1,0,0,0} = -S_1$ .

Introducing an extra indeterminate  $t$ , and given any  $u \in \mathbb{Z}^n$ , one defines the *modified Hall–Littlewood polynomial*  $Q'_u$  by

$$Q'_u = x^u \prod_{1 \leq i < j \leq n} (1 - tx_i/x_j)^{-1} \uplus . \quad (2)$$

This is, in fact, a finite sum of Schur functions, because we first eliminate in the expansion of  $x^u \prod (1 - tx_i/x_j)^{-1}$  all the monomials which are not  $\geq 0$ .

The set  $\{Q'_\lambda : \lambda \in \mathfrak{Part}\}$  is a basis of  $\mathfrak{Sym}$ , which specializes to the basis of Schur functions for  $t = 0$ . Any  $Q'_u$  can be expressed in terms of the  $Q'_\lambda$  thanks to the following relations:

$$Q'_{\dots, \alpha, \beta+1, \dots} + Q'_{\dots, \beta, \alpha+1, \dots} - t Q'_{\dots, \beta+1, \alpha, \dots} - t Q'_{\dots, \alpha+1, \beta, \dots} = 0. \quad (3)$$

These relations still result from  $x_{i+1} \pi_i = 0$ , because, when  $v$  is such that  $v_i = v_{i+1}$ , then

$$x^v \left( x_i^\alpha x_{i+1}^\beta + x_i^\beta x_{i+1}^\alpha \right) \frac{(1 - tx_i/x_{i+1})}{\prod_{j < h} (1 - tx_j/x_h)} x_{i+1} \pi_i = 0,$$

the factor on the left of  $x_{i+1}$  being symmetrical in  $x_i, x_{i+1}$ , and therefore commuting with  $\pi_i$ .

Relations (3), together with  $Q'_{\dots, u_n} = 0$  if  $u_n < 0$ , suffice to express any  $Q'_u$  in terms of the  $Q'_\lambda : \lambda \in \mathfrak{Part}$ .

The other types of Hall–Littlewood polynomials are

$$Q_\lambda X := Q'_\lambda(X(1-t)), \quad (4)$$

$$P_\lambda X := b_\lambda^{-1} Q_\lambda X, \quad (5)$$

with  $b_\lambda = \prod_i \prod_{j=1}^{m_i} (1 - t^j)$ , for  $\lambda = 1^{m_1} 2^{m_2} 3^{m_3} \dots$ .

These functions can also be defined by symmetrization, but problems arise when taking general weights instead of only *dominant weights* (i.e., partitions), see the terminal note.

We give in Corollary 3.2 a description of the functions  $Q'_\lambda$  in terms of *plane partitions*. Recall that the  $Q'_\lambda$  admit another combinatorial description, this time in terms of tableaux:

$$Q'_\mu = \sum_T t^{\mathfrak{ch}T} S_{\mathfrak{sh}T}, \quad (6)$$

where the sum is over all tableaux of weight  $\mu$ ,  $\mathfrak{sh}T$  being the shape of  $T$ , and  $\mathfrak{ch}T$  being the *charge* of  $T$  (cf. [8, III.6])<sup>5</sup>.

<sup>5</sup>The charge is a *rank function* on the set of all tableaux. More generally, it can be defined as a function on words in letters  $1, 2, 3, \dots$ , satisfying the following relations in the case of a dominant evaluation, i.e., when  $\mu_1 \geq \mu_2 \geq \mu_3 \geq \dots$ :

$$\mathfrak{ch}(\dots 3^{\mu_3} 2^{\mu_2} 1^{\mu_1}) = 0, \quad \mathfrak{ch}(wi) = \mathfrak{ch}(iw) + 1 \text{ if } i > 1, \quad (7)$$

and the invariance with respect to the plactic relations [6]:

$$w \equiv w' \Rightarrow \mathfrak{ch}w = \mathfrak{ch}w'. \quad (8)$$

### 3. ADDING 1

Adding  $\pm 1$  to the argument of a symmetric function is, as we already said, induced by the transformation

$$p_i \rightarrow p_i \pm 1, \quad i = 1, 2, \dots$$

of the powers sums  $p_i$ .

In terms of Schur functions, for a partition  $\lambda \in \mathbb{N}^n$ , this amounts to

$$S_\lambda(X - 1) = \sum_{v \in \{0,1\}^n} (-1)^{|v|} S_{\lambda-v} X, \quad (9)$$

$$S_\lambda(X + 1) = \sum_{u \in \mathbb{N}^n} S_{\lambda+u} X. \quad (10)$$

Both summations can be reduced to a summation over partitions, erasing vertical or horizontal strips from the diagram of  $\lambda$  [8, I.5].

One can rewrite (9,10) as

$$S_\lambda(X - 1) = x^\lambda \prod_{1 \leq i \leq n} (1 - 1/x_i) \pi_\omega, \quad (11)$$

$$S_\lambda(X + 1) = x^\lambda \prod_{1 \leq i \leq n} \frac{1}{1 - 1/x_i} \pi_\omega, \quad (12)$$

and therefore,

$$Q'_\lambda(X - 1) = x^\lambda \frac{\prod_{1 \leq i \leq n} (1 - 1/x_i)}{\prod_{1 \leq i < j \leq n} (1 - tx_i/x_j)} \pi_\omega = \sum_{v \in \{0,1\}^n} (-1)^{|v|} Q'_{\lambda-v} X, \quad (13)$$

$$Q'_\lambda(X + 1) = x^\lambda \frac{1}{\prod_{1 \leq i \leq n} (1 - 1/x_i)} \frac{1}{\prod_{1 \leq i < j \leq n} (1 - tx_i/x_j)} \pi_\omega. \quad (14)$$

The first summation is easy to reduce, using the reordering

$$\sum_v Q'_{k^m-v} = \begin{bmatrix} m \\ \alpha \end{bmatrix} Q'_{k^{m-\alpha}, (k-1)^\alpha}, \quad (15)$$

where  $v$  runs over all permutations of  $[1^\alpha, 0^{m-\alpha}]$ , and where  $\begin{bmatrix} m \\ \alpha \end{bmatrix}$  denotes the  $t$ -binomial coefficient  $(1 - t^m) \cdots (1 - t^{m-\alpha+1}) / (1 - t) \cdots (1 - t^\alpha)$ .

Iterating (15), one gets

$$Q'_\lambda(X - 1) = \sum_\mu \prod_i (-1)^{\alpha_i} \begin{bmatrix} m_i \\ \alpha_i \end{bmatrix} Q'_\mu, \quad (16)$$

where the sum is over all partitions  $\mu = 0^{\alpha_1} 1^{m_1-\alpha_1} 1^{\alpha_2} 2^{m_2-\alpha_2} 2^{\alpha_3} 3^{m_3-\alpha_3} \dots$  differing from  $\lambda = 1^{m_1} 2^{m_2} 3^{m_3} \dots$  by a vertical strip.

The second summation is a little more complicated to transform, and contrary to the case of Schur functions, will not restrict to erasing strips.

Let us first introduce *skew Hall–Littlewood polynomials* [8, III.5]  $Q'_{\lambda/\mu}$ , by

$$Q'_\lambda(X + Y) = \sum_{\mu \in \mathfrak{Part}} Q'_{\lambda/\mu} X Q'_\mu Y, \quad (17)$$

i.e.  $Q'_{\lambda/\mu} X$  is defined as the coefficient of  $Q'_\mu Y$  in the expansion of the function  $Q'_\lambda$  evaluated in  $X + Y$ . Note that this definition makes sense for  $\lambda \in \mathbb{Z}^n$ , and not only for  $\lambda \in \mathfrak{Part}$ .

For any pair of partitions  $\lambda, \mu$ , let us define

$$\mathbf{n}(\lambda/\mu) = \sum_i (\lambda_i^\sim - \mu_i^\sim)(\lambda_i^\sim - \mu_i^\sim - 1)/2,$$

where  $\lambda^\sim$  and  $\mu^\sim$  are the partitions conjugate to  $\lambda, \mu$  respectively. In the case where  $\mu = 0$ , then one writes  $\mathbf{n}(\lambda)$  instead of  $\mathbf{n}(\lambda/0)$ . Moreover,  $\mathbf{n}(\lambda) = 0\lambda_1 + 1\lambda_2 + 2\lambda_3 + \dots$ .

The main result of this section is the following evaluation of  $Q'_{\lambda/\mu} 1$ .

**Theorem 3.1.** *Given a partition  $\lambda$ , then*

$$Q'_\lambda(X + 1) = \sum_{\mu \subseteq \lambda} Q'_\mu X \mathfrak{N}(\lambda/\mu),$$

with

$$Q'_{\lambda/\mu} 1 = \mathfrak{N}(\lambda/\mu) := b_\mu^{-1} t^{\mathbf{n}(\lambda/\mu)} (1 - t^{\nu_1 - 0})(1 - t^{\nu_2 - 1}) \dots (1 - t^{\nu_r - r + 1}), \quad (18)$$

$\nu_1, \dots, \nu_r$  being the parts of index  $\mu_1, \dots, \mu_r$ ,  $r = \ell(\mu)$ , of the partition conjugate to  $\lambda$ .

One can visualize the value of  $Q'_{\lambda/\mu} 1 = \mathfrak{N}(\lambda/\mu)$  in the Cartesian plane as follows: colour in black the right-most box of each row of the diagram of  $\mu$ , write  $0, 1, 2, \dots$  in the successive boxes of the same column of  $\lambda/\mu$ . Each column with  $\alpha$  black boxes, and  $\beta$  boxes above, gives a contribution  $t^{\binom{\beta}{2}} \begin{bmatrix} \alpha + \beta \\ \alpha \end{bmatrix}$  to  $Q'_{\lambda/\mu}(1)$ , which is the product over all columns of these contributions. For example, for  $\lambda = [4432221]$ ,  $\mu = [2211]$ , then  $\lambda^\sim = [7632]$ ,  $\nu = [6677]$ ,  $\mathbf{n}(\lambda/\mu) = 13$ ,  $b_\mu = (1 - t)^2(1 - t^2)^2$ ,

$$Q'_{4432221/2211} 1 = t^{13}(1 - t^6)(1 - t^{6-1})(1 - t^{7-2})(1 - t^{7-3})(1 - t)^{-2}(1 - t^2)^{-2},$$

2			
1	3		
0	2		
■	1		
■	0	2	
	■	1	1
	■	0	0

$$\Rightarrow Q'_{4432221/2211} 1 = t^3 \begin{bmatrix} 5 \\ 2 \end{bmatrix} t^6 \begin{bmatrix} 6 \\ 2 \end{bmatrix} t^3 \begin{bmatrix} 3 \\ 0 \end{bmatrix} t^1 \begin{bmatrix} 2 \\ 0 \end{bmatrix}.$$

*Proof of the theorem.* We shall evaluate  $\sum_u Q'_{\lambda-u} X$  by decomposing the set  $u \in \mathbb{N}^n$  into two subsets, according to whether  $u_1 = 0$  or not.

Let  $a, j, k$  be such that

$$\lambda_1 = a = \cdots = \lambda_k > \lambda_{k+1}, \quad \mu_1 = a = \cdots = \mu_j > \mu_{j+1},$$

and write  $\lambda = a^k \zeta$ ,  $\mu = a^j \eta$ .

In terms of these parameters, using (15), one wants to show that

$$Q'_{\lambda/\mu} 1 = t^{\binom{k-j}{2}} \begin{bmatrix} k \\ j \end{bmatrix} Q'_{[a^{k-j}\zeta]/\eta}(1). \quad (19)$$

The sub-sum  $\sum_{u: u_1=0} Q'_{\lambda-u} X$  is equal to

$$Q'_a X \odot Q'_{a^{k-1}\zeta}(X+1),$$

where, by definition,  $Q'_a \odot Q'_\nu$  is the concatenation  $Q'_{a,\nu}$ , and extending by linearity.

By induction on  $\ell(\lambda)$ , the coefficient of  $Q'_\mu X$  in  $Q'_a X \odot Q'_{a^{k-1}\zeta}(X+1)$  is equal to

$$t^{\binom{k-j}{2}} \begin{bmatrix} k-1 \\ j-1 \end{bmatrix} Q'_{[a^{k-j}\zeta]/\eta} 1.$$

The terms  $Q'_{\lambda-u} X$ ,  $u_1 \geq 1$ , can be rewritten

$$\sum_{u \in \mathbb{N}^n} Q_{\lambda-u} X Q'_{\lambda^-}(X+1) = t^{k-1} Q'_{a^{k-1}, a-1, \zeta}(X+1),$$

with  $\lambda^- = [a-1, \lambda_2, \lambda_3, \dots]$ .

By induction on  $|\lambda|$ , the coefficient of  $Q'_\mu X$  in this function is equal to

$$t^{k-1} t^{\binom{k-1-j}{2}} \begin{bmatrix} k-1 \\ j \end{bmatrix} Q'_{[a^{k-j}\zeta]/\eta} 1.$$

The identity

$$\begin{bmatrix} k-1 \\ j-1 \end{bmatrix} + t^{k-1 + \binom{k-1-j}{2} - \binom{k-j}{2}} \begin{bmatrix} k-1 \\ j \end{bmatrix} = \begin{bmatrix} k-1 \\ j-1 \end{bmatrix} + t^j \begin{bmatrix} k-1 \\ j \end{bmatrix} = \begin{bmatrix} k \\ j \end{bmatrix}$$

allows to sum up the two contributions and finishes the proof.  $\square$

For example,  $Q'_{221}(X+1)$  is obtained by the following enumeration:

$$\begin{aligned} & t^4 \begin{array}{|c|c|} \hline 2 \\ \hline 1 & 1 \\ \hline 0 & 0 \\ \hline \end{array} + t^2 \begin{array}{|c|} \hline 3 \\ \hline 1 \\ \hline \end{array} \begin{array}{|c|c|} \hline 1 & \\ \hline 0 & 1 \\ \hline \blacksquare & 0 \\ \hline \end{array} + t \begin{array}{|c|} \hline 2 \\ \hline 1 \\ \hline \end{array} \begin{array}{|c|c|} \hline 1 & \\ \hline 0 & 0 \\ \hline & \blacksquare \\ \hline \end{array} + t \begin{array}{|c|} \hline 3 \\ \hline 2 \\ \hline \end{array} \begin{array}{|c|c|} \hline 0 & \\ \hline \blacksquare & 1 \\ \hline \blacksquare & 0 \\ \hline \end{array} + \begin{array}{|c|} \hline 2 \\ \hline 1 \\ \hline \end{array}^2 \begin{array}{|c|c|} \hline 0 & \\ \hline \blacksquare & 0 \\ \hline & \blacksquare \\ \hline \end{array} \\ & + t \begin{array}{|c|} \hline 3 \\ \hline 3 \\ \hline \end{array} \begin{array}{|c|c|} \hline \blacksquare & \\ \hline \blacksquare & 1 \\ \hline \blacksquare & 0 \\ \hline \end{array} + \begin{array}{|c|} \hline 2 \\ \hline 2 \\ \hline \end{array} \begin{array}{|c|c|} \hline 0 & \\ \hline & \blacksquare \\ \hline & \blacksquare \\ \hline \end{array} + \begin{array}{|c|c|} \hline 2 & \\ \hline 2 & 1 \\ \hline \end{array} \begin{array}{|c|c|} \hline \blacksquare & \\ \hline \blacksquare & 0 \\ \hline & \blacksquare \\ \hline \end{array} + \begin{array}{|c|} \hline 1 \\ \hline 1 \\ \hline \end{array} \begin{array}{|c|c|} \hline 2 & \\ \hline & \blacksquare \\ \hline & \blacksquare \\ \hline \end{array} \\ & = t^4 Q'_0 + t^2(1+t+t^2)Q'_1 + t(1+t)Q'_2 + t(1+t+t^2)Q'_{11} + (1+t)^2 Q'_{21} \\ & \quad + tQ'_{111} + Q'_{22} + (1+t)Q'_{211} + Q'_{221}. \end{aligned}$$



Recall that a *plane partition* of shape a partition  $\lambda$  is a filling of the diagram of  $\lambda$  with positive integers such that numbers weakly increase when faring towards the origin. In other words, the successive domains occupied by the letters  $1, 2, 3, \dots$  are skew partitions  $\lambda/\lambda^1, \lambda^1/\lambda^2, \lambda^2/\lambda^3, \dots$ . Define the *weight* of a plane partition  $\boxplus$  to be the product

$$\aleph_x(\boxplus) = \aleph(\lambda/\lambda^1)x_1^{|\lambda/\lambda^1|} \aleph(\lambda^1/\lambda^2)x_2^{|\lambda^1/\lambda^2|} \aleph(\lambda^2/\lambda^3)x_3^{|\lambda^2/\lambda^3|} \dots$$

Iteration of Theorem 3.1 leads to the following description of  $Q'_\lambda$ .

**Corollary 3.2.** *Let  $\lambda$  be a partition,  $n$  be a positive integer. Then*

$$Q'_\lambda(x_1 + \dots + x_n) = \sum_{\boxplus} \aleph_x(\boxplus),$$

where the sum is over all plane partitions in  $1, \dots, n$  of shape  $\lambda$ .

For example, for  $\lambda = [21]$ , one has

$$\begin{aligned} Q'_{21} &= \sum_i t \begin{array}{|c|c|} \hline i & \\ \hline i & i \\ \hline \end{array} + \sum_{i < j} (1+t) \begin{array}{|c|c|} \hline i & \\ \hline j & i \\ \hline \end{array} + t \begin{array}{|c|c|} \hline j & \\ \hline j & i \\ \hline \end{array} + \begin{array}{|c|c|} \hline i & \\ \hline j & j \\ \hline \end{array} \\ &\quad + \sum_{i < j < k} \begin{array}{|c|c|} \hline i & \\ \hline k & j \\ \hline \end{array} + (1+t) \begin{array}{|c|c|} \hline j & \\ \hline k & i \\ \hline \end{array} \\ &= \sum_i t x_i^3 + \sum_{i < j} (1+t) x_i^2 x_j + t x_i x_j^2 + x_i x_j^2 + \sum_{i < j < k} (2+t) x_i x_j x_k. \end{aligned}$$

On the other hand, the interpretation in terms of tableaux and charge reads

$$\begin{aligned} Q'_{21} &= S_{21} + t S_3 \\ &= \sum_{i < j} \begin{array}{|c|c|c|} \hline j & & \\ \hline i & i & \\ \hline \end{array} + \begin{array}{|c|c|c|} \hline j & & \\ \hline i & j & \\ \hline \end{array} + \sum_{i < j < k} \begin{array}{|c|c|c|} \hline j & & \\ \hline i & k & \\ \hline \end{array} + \begin{array}{|c|c|c|} \hline k & & \\ \hline i & j & \\ \hline \end{array} + \sum_{i \leq j \leq k} t \begin{array}{|c|c|c|} \hline i & j & k \\ \hline \end{array}. \end{aligned}$$

#### 4. ARGUMENT $1 - X$

Instead of describing  $Q'_\lambda(X - 1)$ , we prefer taking  $Q'_\lambda(1 - X)$ . Addition and subtraction of alphabets involve rather different properties of symmetric functions. In the case of Hall–Littlewood polynomials, with a hypothesis on  $\lambda$ , we shall find a connection between  $Q'_\lambda(1 - X)$  and resultants.

Recall that, given two finite alphabets  $\mathbb{A}, \mathbb{B}$ , of respective cardinalities  $\alpha, \beta$ , then the *resultant*  $\prod_{a \in \mathbb{A}, b \in \mathbb{B}} (a - b)$  is equal to the Schur function  $S_{\beta^\alpha}(\mathbb{A} - \mathbb{B})$ . More generally, the resultant appears as a factor of some Schur functions, thanks to a proposition due to Berele and Regev [5, 1.4.3].

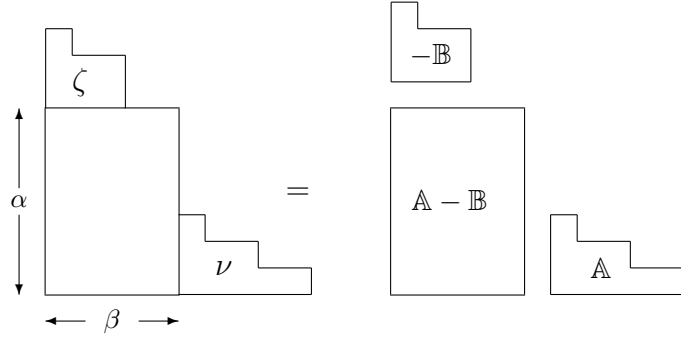
**Proposition 4.1.** *Let  $\mathbb{A}, \mathbb{B}$ , be of cardinalities  $\alpha, \beta$ ,  $p \in \mathbb{N}$ ,  $\zeta \in \mathbb{N}^p$ ,  $\nu \in \mathbb{N}^\alpha$ . Then, writing  $[\beta + \nu_1, \dots, \beta + \nu_\alpha, \zeta_1, \dots, \zeta_p] = [\beta^\alpha + \nu, \zeta]$ , one has*

$$S_{\beta^\alpha + \nu, \zeta}(\mathbb{A} - \mathbb{B}) = S_\zeta(-\mathbb{B}) S_\nu(\mathbb{A}) \prod_{a \in \mathbb{A}, b \in \mathbb{B}} (a - b). \quad (20)$$

Moreover,

$$\nu \in \mathfrak{Part}, \nu \supseteq (\beta + 1)^{\alpha+1} \Rightarrow S_\nu(\mathbb{A} - \mathbb{B}) = 0. \quad (21)$$

Pictorially, the relation is



The next theorem shows that  $Q'_{n^k}(1 - X)$  is also a resultant, and more generally, factors out a resultant from  $Q'_\lambda(1 - X)$  (it is more convenient to take a power of  $t$  instead of 1, to simplify exponents).

**Theorem 4.2.** *Let  $n, r \in \mathbb{N}$ ,  $X$  be an alphabet of cardinality  $n$ ,  $\lambda$  be a partition that is written, with  $\zeta$  such that  $\zeta_1 < n$ ,*

$$\lambda = [n + \nu_1, \dots, n + \nu_k, \zeta_1, \zeta_2, \dots] = [n^k + \nu, \zeta].$$

Then

$$Q'_\lambda(t^r - X) = t^{\mathbf{n}(\nu) + r|\nu|} \prod_{i=r}^{k+r-1} \prod_{j=1}^n (t^i - x_j) Q'_\zeta(t^{k+r} - X). \quad (22)$$

*Proof.*  $Q'_\lambda(1 - X) = \sum_{\mu \subseteq \lambda} Q'_\mu X Q'_{\lambda/\mu} t^r$ . The terms  $Q'_\mu X$  vanish if  $\mu_1 > n$ . Eq. (18) shows that  $Q'_{\lambda/\mu} t^r$ , when  $\mu \leq n$ , is equal to

$$Q'_{[n^k, \zeta]/\mu} t^r t^{\mathbf{n}(\nu) + r|\nu|}.$$

Thus we need only treat the case where  $\nu = 0$ , and we shall do it by induction on  $k$ .

The function  $Q'_{[n^{k-1}, \zeta]/\mu}(t^{r+1} - X)$  can be written as as sum of Schur functions, enumerating all tableaux of commutative evaluation

$$2^n \dots k^n (k+1)^{\zeta_1} (k+2)^{\zeta_2} \dots,$$

of shape not containing  $[n+1, n+1]$  (otherwise the Schur function vanishes, according to (21)). Given any such tableau  $T$ , of shape  $\rho$ , given any horizontal strip  $\rho/\xi$ , then there exists a unique pair  $(u, T')$  such that  $T \equiv uT'$ , with  $T'$  of shape  $\xi$  and  $u$  a tableau of row shape. If  $\xi_1 \leq n$ , then

$T'' = T' 1^n u$  is a tableau of charge  $\mathfrak{ch} T + \ell(u)$ , which contributes to  $Q'_{[n^k, \zeta]}$ , and all tableaux of weight  $[n^k, \zeta]$ , of shape not containing  $[n+1, n+1]$  are obtained in this way.

The contribution of  $T$  to  $Q'_{[n^{k-1}, \zeta]/\mu}(t^{r+1} - X)$  is, writing  $R(y, X)$  for  $\prod_{1 \leq i \leq n} (y - x_i)$ ,

$$t^{\mathfrak{ch} T} S_\rho(t^{r+1} - X) = t^{\mathfrak{ch} T} S_{\rho_2, \rho_3, \dots}(-X) R(t^{r+1}, X) t^{(r+1)(\rho_1 - n)},$$

thanks to the factorization (20). Each of its successors  $T'' = T' 1^n u$  contributes to  $Q'_{[n^k, \zeta]}(t^r - X)$  the term

$$t^{\mathfrak{ch} T''} S_{n+\ell(u), \xi}(t^r - X) = t^{\mathfrak{ch} T} t^{\ell(u)} S_\xi(-X) R(t^r, X) t^{r\ell(u)}.$$

Summing over all the tableaux  $T''$  whose predecessor is  $T$ , one gets the contribution<sup>6</sup>

$$t^{\mathfrak{ch} T} R(t^r, X) S_\rho(t^{r+1} - X),$$

and this shows that the contribution of  $T$  has been multiplied by a factor independent of  $T$ .

Summation over all tableaux  $T$  of weight  $[n^{k-1}, \zeta]$  gives the equality

$$Q'_{[n^k, \zeta]}(t^r - X) = R(t^r, X) Q'_{[n^{k-1}, \zeta]}(t^{r+1} - X)$$

and finishes the proof. □

For example, for  $n = 2$ , let us illustrate, on a single tableau, the induc-

tive step from  $Q'_{22211}(t - X)$  to  $Q'_{222211}(1 - X)$ . The tableau

6			
5			
3	4		
2	2	3	4

has charge 5 and gives the contribution

$$t^5 S_{4211}(t - X) = t^5 S_{211}(-X) S_4(t - X) = t^5 S_{211}(-X) R(t, X) t^2.$$

Its different factorizations, the issuing new tableaux and their contributions are

---

<sup>6</sup>Indeed, when  $y$  a single letter,  $S_\rho(y - X)$  is equal to the sum  $\sum_\xi y^{|\rho/\xi|} S_\xi(-X)$ .

$$\begin{array}{ccc}
 \begin{array}{|c|c|} \hline 2 & 3 \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline 6 \\ 5 \\ 4 & 4 \\ 2 & 3 \\ \hline \end{array} & \rightarrow \begin{array}{|c|c|c|c|} \hline 6 \\ 5 \\ 4 & 4 \\ 2 & 3 \\ 1 & 1 & 2 & 3 \\ \hline \end{array} & t^7 S_{2211}(-X) R(1, X) \\
 \\
 \begin{array}{|c|c|c|} \hline 2 & 3 & 6 \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline 5 \\ 4 & 4 \\ 2 & 3 \\ \hline \end{array} & \rightarrow \begin{array}{|c|c|c|c|c|} \hline 5 \\ 4 & 4 \\ 2 & 3 \\ 1 & 1 & 2 & 3 & 6 \\ \hline \end{array} & t^8 S_{221}(-X) R(1, X) \\
 \\
 \begin{array}{|c|c|c|} \hline 2 & 3 & 4 \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline 6 \\ 5 \\ 4 \\ 2 & 3 \\ \hline \end{array} & \rightarrow \begin{array}{|c|c|c|c|c|} \hline 6 \\ 5 \\ 4 \\ 2 & 3 \\ 1 & 1 & 2 & 3 & 4 \\ \hline \end{array} & t^8 S_{2111}(-X) R(1, X) \\
 \\
 \begin{array}{|c|c|c|c|} \hline 2 & 3 & 4 & 6 \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline 5 \\ 4 \\ 2 & 3 \\ \hline \end{array} & \rightarrow \begin{array}{|c|c|c|c|c|c|} \hline 5 \\ 4 \\ 2 & 3 \\ 1 & 1 & 2 & 3 & 4 & 6 \\ \hline \end{array} & t^9 S_{211}(-X) R(1, X) .
 \end{array}$$

The sum of these contributions is

$$\begin{aligned}
 t^7 R(1, X) (S_{2211}(-X) + t S_{221}(-X) + t S_{2111}(-X) + t^2 S_{211}(-X)) \\
 = t^7 R(1, X) S_{2211}(t - X) = t^7 R(1, X) R(t, X) S_{211}(t - X) .
 \end{aligned}$$

In the special case  $X = \{x\}$  of cardinality 1, and  $r = 0$ , one recovers that [8, p.226]

$$Q'_\lambda(1-x) = Q_\lambda\left(\frac{1-x}{1-t}\right) = t^{\mathbf{n}(\lambda)}(1-x)(1-xt^{-1}) \cdots (1-xt^{1-\ell(\lambda)}), \quad (23)$$

an identity which allows to describe the *principal specializations*  $Q'_\lambda(1-t^N) = Q_\lambda((1-t^N)/(1-t))$  when taking  $y = t^N$ .

In the case of an alphabet of cardinality 2,  $X = \{x_1, x_2\}$ , the remaining partition  $\zeta$  is such that  $\zeta = 1^\beta$  for some  $\beta \in \mathbb{N}$ , and therefore, the factor  $Q'_\zeta(t^j - X)$  is equal to

$$Q_{1^\beta}\left(\frac{t^j - X}{1-t}\right) = b_{1^\beta} S_{1^\beta}\left(\frac{t^j - x_1 - x_2}{1-t}\right) .$$

In total,

$$\begin{aligned}
 Q'_{2^k + \nu, 1^\beta}(1 - x_1 - x_2) \\
 = t^{\mathbf{n}(\nu)} (1-t) \cdots (1-t^\beta) \prod_{i=0}^{k-1} (t^i - x_1)(t^i - x_2) S_{1^\beta}\left(\frac{t^k - x_1 - x_2}{1-t}\right) . \quad (24)
 \end{aligned}$$

## 5. GENERATING FUNCTIONS

The results of the preceding sections may be used to compute generating series of Hall–Littlewood polynomials. For example, given the series  $\sum_{\mu \in \mathfrak{Part}} c_\mu P_\mu(X)$ , with some arbitrary coefficients  $c_\mu$ , suppose that one wants to evaluate the product

$$\sigma_1(-X) \sum c_\mu P_\mu X = \prod_{x \in X} (1-x) \sum c_\mu P_\mu X .$$

To do so, one first extends the family  $c_\mu$  to a family  $c_v : v \in \mathbb{Z}^n$  by imposing the relations (3). It amounts introducing a second alphabet  $Y$ , and putting  $c_\mu = Q_\mu Y$ . Since  $\sigma_1(XY(1-t)) = \sum Q_\mu Y P_\mu X$ , then

$$\begin{aligned} \sigma_1(-X) \sum_{\mu} c_\mu P_\mu(X) &= \sigma_1(-X + XY(1-t)) \\ &= \prod_{x \in X} (1-x) \prod_{x \in X, y \in Y} \frac{1-txy}{1-xy} \\ &= \sigma_1 \left( X \left( Y - \frac{1}{1-t} \right) (1-t) \right) \\ &= \sum_{\lambda \in \mathfrak{Part}} P_\lambda X Q_\lambda \left( Y - \frac{1}{1-t} \right) . \end{aligned}$$

Knowing the expansion of  $Q_\lambda(Y - 1/(1-t))$ , or equivalently, of  $Q'_\lambda(Y' - 1)$ , with  $Y' = Y(1-t)$ , given in (13), one concludes

$$\sigma_1(-X) \sum_{\mu} c_\mu P_\mu X = \sum_{\lambda} \sum_{v \in \{0,1\}^n} (-1)^{|v|} c_{\lambda-v} P_\lambda X . \quad (25)$$

Let us give another similar example.

**Proposition 5.1.** *Given two alphabets  $X, Y$ , we have*

$$\begin{aligned} \sigma_1(X + XY(1-t)) &= \prod_{x \in X} \frac{1}{1-x} \prod_{y \in Y} \frac{1-txy}{1-xy} \\ &= \sum_{\lambda \in \mathfrak{Part}} \sum_{\mu \subseteq \lambda} P_\lambda X P_\mu Y b_\mu Q'_{\lambda/\mu} 1 , \end{aligned} \quad (26)$$

the value of  $b_\mu Q'_{\lambda/\mu} 1$  being given in (18).

*Proof.* One writes  $X + XY(1-t) = X(Y + (1-t)^{-1})(1-t)$ , and therefore one obtains

$$\begin{aligned} \sigma_1(X + XY(1-t)) &= \sum_{\lambda} P_\lambda X Q_\lambda(Y + (1-t)^{-1}) \\ &= \sum_{\lambda, \mu} P_\lambda X P_\mu b_\mu Y Q_{\lambda/\mu}((1-t)^{-1}) , \end{aligned}$$

which is the required formula.  $\square$

For example, the coefficient of  $P_{42}X$ , in terms of the  $P_\mu = P_\mu Y$ , is

$$\begin{aligned} & t^2 P_0 + t(1-t^2)P_1 + (1-t^2)P_2 + t(1-t)(1-t^2)P_{11} \\ & + (1-t)P_3 + (1-t)(1-t^2)P_{21} + (1-t)P_4 + (1-t)^2 P_{31} + (1-t)(1-t^2)P_{22} \\ & + (1-t)^2 P_{41} + (1-t)^2 P_{32} + (1-t)^2 P_{42}. \end{aligned}$$

Using the explicit values (24), one obtains as a corollary for  $X = (t - x_1 - x_2)(1 - t)^{-1}$ ,  $Y = (1 - y)(1 - t)^{-1}$ , the expansion of

$$\begin{aligned} & \sigma_1 \left( \frac{(t - x_1 - x_2)(2 - y)}{1 - t} \right) \\ & = \sigma_1 \left( 2 \frac{t}{1 - t} + \frac{y(x_1 + x_2)}{1 - t} - \frac{yt}{1 - t} - 2 \frac{x_1 + x_2}{1 - t} \right) \\ & = \prod_{i=0}^{\infty} \frac{1}{(1 - t^{i+1})^2} \frac{1}{(1 - t^i y x_1)(1 - t^i y x_2)} \\ & \quad \cdot (1 - y t^{i+1}) ((1 - t^i x_1)(1 - t^i x_2))^2. \end{aligned}$$

Warnaar evaluates a similar generating series, with simpler coefficients. Let, for any pair of partitions,

$$\theta(\lambda, \mu) = t^{\mathbf{n}(\lambda/\mu) - |\mu|}.$$

Then Warnaar's identity [10, Th. 1.1] is the following.

**Theorem 5.2.** *For any pair of alphabets  $X, Y$ , one has*

$$\sigma_1 \left( X + Y + \left( \frac{1}{t} - 1 \right) XY \right) = \sum_{\lambda, \mu \in \mathfrak{Part}} \theta(\lambda, \mu) P_\lambda X P_\mu Y. \quad (27)$$

*Proof.* Writing the left-hand side  $\sigma_1 X \sigma_1 (Y(1 + (t^{-1} - 1)X))$ , and using that  $\sigma_1 XY((1-t) = \sum Q_\mu X P_\mu Y$ , one rewrites the identity to prove as

$$Q_\lambda \left( \frac{1}{1-t} + t^{-1} X \right) \stackrel{?}{=} \frac{1}{\sigma_1 X} \sum_{\mu} \theta(\lambda, \mu) P_\mu X,$$

or

$$\begin{aligned} \sum_{\mu} t^{-|\mu|} Q_\mu X Q_{\lambda/\mu} \left( \frac{1}{1-t} \right) &= \sum_{\mu} t^{-|\mu|} Q_\mu X Q'_{\lambda/\mu} 1 \\ &\stackrel{?}{=} \sigma_1(-X) \sum_{\mu} \theta(\lambda, \mu) P_\mu X. \end{aligned} \quad (28)$$

Thanks to (25), the right-hand side can be written

$$\sum_{v \in \{0,1\}^n} \theta(\lambda, \mu - v) P_\mu X = \sum_{v \in \{0,1\}^n} \theta(\lambda, \mu - v) b_\mu^{-1} Q_\mu X.$$

Therefore, (28) is a consequence of (18) and is, in fact, equivalent to (26).

□

Let us mention that Warnaar used another expression of  $\theta(\lambda, \mu)$ , putting

$$\theta(\lambda, \mu) = t^{\mathbf{n}(\lambda) + \mathbf{n}(\mu) - (\lambda^\sim, \mu^\sim)}, \quad (29)$$

where  $(\lambda^\sim, \mu^\sim) = \sum \lambda_i^\sim \mu_i^\sim$ .

## 6. SCALAR PRODUCT

The combinatorics of Hall–Littlewood polynomials fundamentally reduces to the fact that  $\{P_\lambda\}$  is an orthogonal basis of the ring of polynomials, and that it can be extended to a family satisfying the *straightening relations* (3). Given these two ingredients, we can forget Hall and Littlewood altogether.

Thus, let us consider the ring of Laurent series in  $x_1, \dots, x_n$ , with a finite number of terms with exponent  $\geq [0, \dots, 0]$ , modulo the ideal generated by the relations

$$(x^v + x^{v s_i})(x_{i+1} - t x_i) \simeq 0, \quad i = 1, \dots, n-1, \quad v \in \mathbb{Z}^n, \quad x^v \simeq 0 \text{ if } v_n < 0. \quad (30)$$

Any element of this ring can be written uniquely as a linear combination of dominant monomials  $x^\lambda : \lambda_1 \geq \dots \geq \lambda_n \geq 0$ .

We define a scalar product by its restriction to dominant monomials:

$$((x^\lambda, x^\mu)) = b_\lambda \delta_{\lambda, \mu}, \quad \lambda, \mu \in \mathfrak{Part}. \quad (31)$$

We may observe that the scalar product on Laurent polynomials used in [2],

$$(f, g)_t := CT \left( f(x_1, \dots, x_n) g \left( \frac{1}{x_n}, \dots, \frac{1}{x_1} \right) \prod_{1 \leq i < j \leq n} \frac{1 - x_i/x_j}{1 - t x_i/x_j} \right), \quad (32)$$

where  $CT$  means “constant term”, is such that

$$(Q_\lambda, x^\mu) = b_\lambda \delta_{\lambda, \mu}.$$

This indicates that the present study is related to more general constructions about non-symmetric Hall–Littlewood polynomials.

In the present set-up, to recover Warnaar’s generating function, we essentially need to interpret the function  $\theta(\lambda, \mu)$ ,  $\lambda, \mu \in \mathfrak{Part}$ , as a scalar product. To do so, let us also write  $\theta(x^\lambda, x^\mu)$  for  $\theta(\lambda, \mu)$ , and extend the definition of  $\theta$  to all monomials by linearity using relations (30).

**Proposition 6.1.** *For any pair  $\lambda, \mu \in \mathfrak{Part}$ , we have*

$$\left( \left( \frac{x^\lambda t^{-|\lambda|}}{\prod_{i=1}^n (1 - t/x_i)}, \frac{x^\mu}{\prod_{i=1}^n (1 - 1/x_i)} \right) \right) = \theta(\lambda, \mu). \quad (33)$$

*Proof.* Multiplying<sup>7</sup>  $x^\mu$  by  $\prod_{i=1}^n (1 - 1/x_i)$ , let us prove the equivalent statement that

$$\left( \left( \frac{x^\lambda t^{-|\lambda|}}{\prod_{i=1}^n (1 - t/x_i)}, x^\mu \right) \right) = \sum_{v \in \{0,1\}^n} (-1)^{|v|} \theta(\lambda, \mu - v). \quad (34)$$

Let  $a, k$  be such that  $\mu_1 = a = \dots = \mu_k > \mu_{k+1}$ , let  $r = \lambda_a^\sim$ . Then, for any  $j : 0 \leq j \leq k$ , one has

$$\theta(\lambda, \mu - [1^j, 0^{n-j}]) = \theta(\lambda, \mu) t^{-0-1-\dots-(j-1)} t^{jr}.$$

Summing over all vectors  $u \in \{0,1\}^n$ ,  $u_i = 0$  for  $i > k$ , and taking into account reordering, one gets

$$\begin{aligned} \sum_u (-1)^{|u|} \theta(\lambda, \mu - u) &= \theta(\lambda, \mu) \sum_{j=0}^k (-1)^j \begin{bmatrix} k \\ j \end{bmatrix} t^{-\binom{j}{2}} t^{jr} \\ &= \theta(\lambda, \mu) (1 - t^{r-0})(1 - t^{r-1}) \dots (1 - t^{r-k+1}). \end{aligned}$$

By induction  $[\mu_{k+1}, \mu_{k+2}, \dots] \rightarrow \mu = [a^k, \mu_{k+1}, \dots]$ , this proves that

$$\sum_{v \in \{0,1\}^n} (-1)^{|v|} \theta(\lambda, \mu - v) = \theta(\lambda, \mu) (1 - t^{\nu_1})(1 - t^{\nu_2-1})(1 - t^{\nu_3-2}) \dots, \quad (35)$$

$\nu_1, \nu_2, \nu_3, \dots$  being the parts of  $\lambda^\sim$  of index  $\mu_1, \mu_2, \mu_3, \dots$ . Notice that the product is null if  $\mu \not\leq \lambda$ .

Using (18), one rewrites the right hand side of (35) as

$$\theta(\lambda, \mu) t^{-\mathbf{n}(\lambda/\mu)} b_\mu Q'_{\lambda/\mu} 1. \quad (36)$$

On the other hand,

$$Q'_\lambda (1 + t^{-1}X) = \sum_{u \in \mathbb{N}^n} Q'_{\lambda-u} (t^{-1}X) = \sum t^{-|\mu|} Q'_\mu X Q'_{\lambda/\mu} 1.$$

This implies that

$$t^{-|\lambda|} \prod_{i=1}^n (1 - t/x_i)^{-1} \simeq \sum_{u \in \mathbb{N}^n} x^{\lambda-u} t^{|u|-|\lambda|}$$

is congruent to  $\sum_\mu t^{-|\mu|} x^\mu Q'_{\lambda/\mu} 1$ . Therefore,

$$\left( \left( \frac{x^\lambda t^{-|\lambda|}}{\prod_{i=1}^n (1 - t/x_i)}, x^\mu \right) \right) = t^{-|\mu|} b_\mu Q'_{\lambda/\mu} 1,$$

and this is the required identity (34), using that  $\theta(\lambda, \mu) = t^{\mathbf{n}(\lambda/\mu)-|\mu|}$ .  $\square$

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<sup>7</sup>Multiplying by  $x^{-u}$ ,  $u \in \mathbb{N}^n$ , is compatible with relation (30):  $x^v = 0$  if  $v_n < 0$ .



## 7. NOTE

The functions  $Q'_\lambda$  have an interpretation in terms of the cohomology of flag manifolds [1], [8, III.7]. They describe graded multiplicities of representations of the symmetric group. The functions  $Q_\lambda$  also have an interpretation, as Euler–Poincaré characteristic of line bundles over the flag manifold [4]. In combinatorial terms, this gives the following definition.

Let  $\pi_\omega$  be the symmetrizing operator defined before. Given a partition  $\lambda \in \mathbb{N}^n$ , let  $m_0$  be the multiplicity of the part 0 ( $=n - \ell(\lambda)$ ). Then [8, III.2]

$$Q_\lambda(X) = \frac{(1-t)^n}{(1-t) \cdots (1-t^{m_0})} x^\lambda \prod_{i < j} (1 - tx_j/x_i) \pi_\omega. \quad (37)$$

However, the fact that the normalization factor depends on  $\lambda$  prevents us from using (37), which is equivalent to formula (2.14) of [8], as a definition for  $Q_v$  when  $v$  is not a partition (contrary to what is stated p. 214 of [8]).

Indeed, let  $n = 2$ . Then

$$\begin{aligned} x^{02}(1 - tx_2/x_1)\pi_\omega &= \frac{x^{02}(1 - tx_2/x_1)}{1 - x_2/x_1} + \frac{x^{20}(1 - tx_1/x_2)}{1 - x_1/x_2} \\ &= t(x^{20} + x^{11} + x^{02}) - x^{11}. \end{aligned}$$

On the other hand, the relations (3) impose<sup>8</sup>

$$Q'_{02} = t Q'_{20} + (t-1)Q'_{11},$$

and the same relation must be valid for  $Q_{02}$ , resulting from the transformation  $X \rightarrow X(1-t)$ . But

$$tQ_{20}(X) + (t-1)Q_{11}(X) = (t-t^2)(x^{20} + x^{11} + x^{02}) + (t-1)(1-t+t^3)x^{11}$$

is not proportional to the image of  $x^{02}$ .

The confusing fact is that

$$(x^{02} - tx^{20} + (1-t)x^{11})(1 - tx_2/x_1)\pi_\omega = 0,$$

that is, the images of monomials under the operator  $(1 - tx_2/x_1)\pi_\omega$  satisfy the same relations as the functions  $Q_v$ . However, because of the different normalization factors, this does not imply that the image of  $x^{02}$  be proportional to  $Q_{02}$ .

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<sup>8</sup>Macdonald [8, p. 214] writes  $Q_{16} = tQ_{61} + (t^2 - 1)Q_{52} + (t^3 - t)Q_{43}$ ,  $Q_{15} = tQ_{51} + (t^2 - 1)Q_{42} + (t^2 - t)Q_{33}$ . Read  $t^m - t^{m-1}$  instead of  $t^{m+1} - t^m$ .

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